

# Declaration and Power of Attorney For Patent Application *Modulo di Dichiarazione Per Domanda di Brevetto*

## Italian Language Declaration

Io, sottoscritto inventore, dichiaro con il presente che:

Il mio domicilio, recapito postale e cittadinanza sono quelli indicati in calce accanto al mio nome.

Che mi reputo in buona fede essere l'inventore originario, primo e unico (qualora un solo nominativo appaia elencato appresso) o il coinventore (qualora i nominativi siano più di uno) primo e originario dell'invenzione da me rivendicata, e per la quale faccio domanda di brevetto. Tale invenzione è chiamata

e la sua descrizione è:

(contrassegnare uno dei due)

☒ che è acciusa.

☐ E' stata presentata il \_\_\_\_\_ as

Come Domanda Numero 0 /

ed è stata rettificata il \_\_\_\_\_  
(se applicabile)

Dichiaro inoltre con il presente di aver letto e compreso il contenuto della specificazione sopra indicata, comprese le rivendicazioni, come rettificata da qualsiasi emendamento a cui si sia accennato sopra.

Riconosco il mio dovere di rivelare informazioni che costituiscano materiale per l'esame della presente domanda secondo i termini del Titolo 37, Codice dei Regolamenti Federali, Comma 1.56(a).

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

Multi-Armed, Monofunctional, and  
Hydrolytically Stable Derivatives of  
Poly(Ethylene Glycol) and Related  
Polymers for Modification of Surfaces  
and Molecules

the specification of which

(check one)

☒ is attached hereto.

☐ was filed on \_\_\_\_\_ as

Application Serial No. 0 /

and was amended on \_\_\_\_\_  
(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

## Italian Language Declaration

Con il presente rivendico i benefici di priorità per l'estero come stabilito dal Titolo 35, Codice degli Stati Uniti, Comma 119, per qualsiasi domanda di brevetto (o brevetti) straniera per qualsiasi certificato d'invenzione sotto elencato, ed ho anche elencato qui sotto tutte le domande di brevetto e certificati d'invenzione stranieri aventi una data di presentazione anteriore a quella della domanda per la quale si rivendica la precedenza:

Prior foreign applications

Domanda dall'estero precedenti

Priority claimed

Precedenza Rivendicata

(Number) (Country) (Day/Month/Year Filed)  
(Numero) (Paese) (Giorno, Mese ed Anno di Presentazione)

☐  
Yes  
Sì

☐  
No  
No

(Number) (Country) (Day/Month/Year Filed)  
(Numero) (Paese) (Giorno, Mese ed Anno di Presentazione)

☐  
Yes  
Sì

☐  
No  
No

(Number) (Country) (Day/Month/Year Filed)  
(Numero) (Paese) (Giorno, Mese ed Anno di Presentazione)

☐  
Yes  
Sì

☐  
No  
No

Con il presente rivendico il beneficio previsto dal Titolo 35, Codice degli Stati Uniti, Comma 120, per qualsiasi domanda (domande) di brevetto sotto indicata, ed entro i limiti nei quali il materiale indicato in ciascuna delle domande di brevetto non è stato rivelato nella precedente domanda di brevetto americana nel modo previsto dal primo paragrafo del titolo 35, Codice degli Stati Uniti, Comma 112, riconosco il mio dovere di rivelare il materiale d'informazione, così come viene definito nel Titolo 37, Codice dei Regolamenti Federali, Comma 1.56(a), che possa essere venuto ad aggiungersi nel periodo intercorso tra la data di presentazione della domanda precedente e la data nazionale o internazionale PCT di presentazione di questa domanda:

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

08/371,065

(Application Serial No.)  
(Numero di serie della  
Domanda di Brevetto)

January 10, 1995

(Filing Date)  
(Data di  
presentazione)

In attesa di Brevetto Pending

(Stato Giuridico)  
(Brevettato, In attesa  
di Brevetto, Abbandonato)

(Status)  
(patented, pending,  
abandoned)

0/

(Application Serial No.)  
(Numero di serie della  
Domanda di Brevetto)

(Filing Date)  
(Data di  
presentazione)

(Stato Giuridico)  
(Brevettato, In attesa  
di Brevetto, Abbandonato)

(Status)  
(patented, pending,  
abandoned)

Dichiaro inoltre con il presente che tutte le informazioni da me fornite sono in fede mia vere, e che tutte le affermazioni da me fatte sono in fede mia vere; dichiaro inoltre che quando ho fatto queste affermazioni ero al corrente del fatto che false dichiarazioni fatte intenzionalmente sono punibili con multa o incarcerazione, o ambedue, secondo quanto stabilito dalla sezione 1001 del Titolo 18 del Codice degli Stati Uniti, e che tali informazioni intenzionalmente false possono mettere a repentaglio la validità della domanda di brevetto rilasciata in base ad esse.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

## Italian Language Declaration

PROCURA: Io, sottoscritto inventore, nomino con la presente il seguente Procuratore (o Procuratori) Agente (Agenti) che s'incarica di perseguire questa pratica e di portare a termine tutte le operazioni necessarie all'Ufficio Brevetti e all'Ufficio Marchi di Fabbrica pertinenti a questa pratica. (Elencare il Nome e il Numero di Matricola)

Paul F. Pedigo  
Registration No. 31,650

Raymond O. Linker, Jr.  
Registration No. 26,419

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (list name and registration number)

Paul F. Pedigo  
Registration No. 31,650

Raymond O. Linker, Jr.  
Registration No. 26,419

### Recapito per la Corrispondenza:

Paul F. Pedigo  
Bell, Seltzer, Park & Gibson  
P.O. Drawer 34009  
Charlotte, North Carolina 28234

### Send Correspondence to:

Paul F. Pedigo  
Bell, Seltzer, Park & Gibson  
P.O. Drawer 34009  
Charlotte, North Carolina 28234

### Telefonare a: (Nome e Numero)

Paul F. Pedigo  
(704) 331-6000

### Direct Telephone Calls to: (name and telephone number)

Paul F. Pedigo  
(704) 331-6000

Nome Completo dell'inventore primo e unico J. Milton Harris	Full name of sole or first inventor J. Milton Harris
Firma dell'inventore <i>J. Milton Harris</i> Date 5-1-95	Inventor's signature <i>J. Milton Harris</i> Date 5-1-95
Residenza Huntsville, Alabama	Residence Huntsville, Alabama
Cittadinanza United States of America	Citizenship United States of America
Recapito o Casella Postale 3119 Highland Plaza	Post Office Address 3119 Highland Plaza
Huntsville, Alabama 35801 USA	Huntsville, Alabama 35801 USA
Nome completo del secondo coinventore, se applicabile Francesco Maria Veronese	Full name of second joint inventor, if any Francesco Maria Veronese
Firma del secondo inventore <i>Francesco Maria Veronese</i> Date 5/5/95	Second inventor's signature <i>Francesco Maria Veronese</i> Date 5/5/95
Residenza 35128 Padova	Residence 35128 Padova
Cittadinanza Italy	Citizenship Italy
Recapito a Casella Postale via Barozzi, 11/B	Post Office Address via Barozzi, 11/B
35128 Padova ITALY	35128 Padova ITALY

(Si prega di fornire stesse informazioni e firme di eventuali terzi e piu' coinventori.)

(Supply similar information and signature for third and subsequent joint inventors.)

# ITALIAN LANGUAGE DECLARATION

Nome Completo del terzo coinventore Paolo Caliceti	Full name third inventor Paolo Caliceti
Firma del terzo inventore <i>Paolo Caliceti</i> 5.5.1995	Third Inventor's signature <i>Paolo Caliceti</i> 5.5.1995
Residenza 35131 Padova	Residence 35131 Padova
Cittadinanza Italy	Citizenship Italy
Recapito o Casella Postale Corso del Popolo, 21	Post Office Address Corso del Popolo, 21
35131 Padova ITALY	35131 Padova ITALY
Nome Completo del quarto coinventore Oddone Schiavon	Full name of fourth inventor Oddone Schiavon
Firma del quarto inventore <i>Oddone Schiavon</i> 5.5.1995	Fourth Inventor's signature <i>Oddone Schiavon</i> 5.5.1995
Residenza 35131 Padova	Residence 35131 Padova
Cittadinanza Italy	Citizenship Italy
Recapito o Casella Postale via Zago, 13	Post Office Address via Zago, 13
35131 Padova ITALY	35131 Padova ITALY
Nome Completo del quinto coinventore	Full name fifth inventor
Firma del quinto inventore	Fifth Inventor's signature
Residenza	Residence
Cittadinanza	Citizenship
Recapito o Casella Postale	Post Office Address
Nome Completo del sesto coinventore	Full name of sixth inventor
Firma del sesto inventore	Sixth Inventor's signature
Residenza	Residence
Cittadinanza	Citizenship
Recapito o Casella Postale	Post Office Address

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: J. Milton Harris, et al.Application No./Patent No.: \_\_\_\_\_ Filed/Issue Date: 8/5/2003Entitled: Purified Polymers for Modification of Surfaces and MoleculesNektar Therapeutics AL, Corporation, aCorporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or2. ☐ an assignee of less than the entire right, title and interest.The extent (by percentage) of its ownership interest is \_\_\_\_\_ %  
in the patent application/patent identified above by virtue of either:A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.**OR**B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:1. From: Inventors To: Shearwater Polymers, Inc.The document was recorded in the United States Patent and Trademark Office at  
Reel 7538, Frame 0409, or for which a copy thereof is attached.2. From: Shearwater Polymers, Inc. To: Shearwater CorporationThe document was recorded in the United States Patent and Trademark Office at  
Reel 011295, Frame 0671, or for which a copy thereof is attached.3. From: Shearwater Corporation To: Nektar Therapeutics AL, CorporationThe document was recorded in the United States Patent and Trademark Office at  
Reel 013467, Frame 0564, or for which a copy thereof is attached.☐ Additional documents in the chain of title are listed on a supplemental sheet.☐ Copies of assignments or other documents in the chain of title are attached.

(NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

August 5, 2003

Date

(650) 631-3145

Telephone number

Felissa H. Cagan

Typed or printed name

Felissa H. Cagan

Signature

Sr. Director IP

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **C mmission r f r Patents, P.O. Box 1450, Al xandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# **POWER OF ATTORNEY and CORRESPONDENCE ADDRESS INDICATION FORM**

Application Number	
Filing Date	August 5, 2003
First Named Inventor	J. Milton Harris et al.
Title	See 1 in Addendum
Art Unit	
Examiner Name	
Attorney Docket Number	SHE0010.13

I hereby appoint:

☒ Practitioners at Customer Number:

21968

OR

☐ Practitioner(s) named below:

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

☒ The above-mentioned Customer Number.

OR

☐ The address associated with Customer Number:

OR

<input type="checkbox"/> Firm or Individual Name				
Address				
Address				
City	State	Zip		
Country				
Telephone	Fax			

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

## **SIGNATURE of Applicant or Assignee of Record**

Name	Felissa H. Cagan		
Signature	<i>Felissa H. Cagan</i>		
Date	August 5, 2003	Telephone	(650) 631-3145

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☒ \*Total of 1 forms are submitted.

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Comments on this form, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.